

Provision 29 Readiness Checklist

Use this practical checklist to assess your company's current maturity and assess where you stand - tick what applies to get a clear view of your current readiness.

Governance & Oversight

☒ Yes

☐ In Progress

☐ No

Has the board formally taken ownership of internal controls?

Is there a documented review and sign-off process?

Do key stakeholders (audit, risk, finance) align on roles?

Control Framework

☒ Yes

☐ In Progress

☐ No

Have you defined a control framework?

Are your controls mapped to specific risks?

Is each control assigned to a responsible owner?

Testing & Monitoring

☒ Yes

☐ In Progress

☐ No

Do you test controls at least annually - or more often?

Is testing evidence documented and accessible?

Are control failures tracked with remediation actions?

Reporting & Disclosure

☒ Yes

☐ In Progress

☐ No

Is the annual review formally written and approved?

Can you demonstrate control effectiveness clearly?

Is the disclosure integrated into your annual report?

Score Your Readiness

All ☒

You're ready for Provision 29 - well done!

Mostly ☐

You're on your way, but risk last-minute gaps

Any ☐

Prioritise remediation now - Impero can help.

Not familiar with **Provision 29**? Here's what you need to know

Provision 29 requires UK-listed companies to take a more active role in managing and disclosing the effectiveness of their internal controls. It's no longer enough to say you have an internal control system - you must show that it works.

Why it matters

Provision 29 isn't just regulatory housekeeping. It also helps:

- Build trust with investors, regulators, and the public
- Reduce operational risk by exposing weak or missing controls
- Force companies to tighten up siloed processes
- Align with global compliance best practices

What Good Looks Like

To be compliant - and confident - you'll need:

- A clearly defined internal control framework
- Documented control ownership and responsibilities
- Regular, traceable testing of control effectiveness
- A robust audit trail that feeds directly into your disclosure process

[Read about Provision 29](#)

How Impero Helps You Lead with Confidence

- Centralise ownership and documentation in one platform
- Automate recurring controls and evidence collection
- Track effectiveness in real time and spot weak links early
- Export board-ready reports for disclosures and audit
- Prove control maturity - and turn compliance into trust

Let's talk. We'll show you how to go from fragmented processes to full confidence - fast.

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